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By: 

Date: October 4, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No. : 10/727,802 Confirmation No: 6860
Applicant : Heiko Schwarz, et al.
Filed : December 4, 2003
Art Unit : 2613
Title : Method and Arrangement for Coding Transform Coefficients in
Picture and/or Video Coders and Decoders and a Corresponding
Computer Program and a Corresponding Computer-Readable
Storage Medium
Docket No. : S&ZFH030507
Customer No. : 24131

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(C)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

Ref 1.01: **Title:** Draft ITU-T Recommendation and Final Draft International Standard Joint Video Specification (ITU-T Rec. H.264| ISO/IEC 14496-10 AVC). **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-250.

Ref 1.02: **Title:** Overview of the H.264/AVC Video Coding Standard. **Author:** Thomas Wiegand, Gary J. Sullivan, Senior Member, IEEE, Gisele Bjontegaard, and Ajay Luthra, Senior Member, IEEE. **Pages:** 560-576.

Ref 1.03: **Title:** Information Technology-Generic Coding Moving Pictures and Associated Audio Information: Video. **From:** International Standard 13818-2 Recommendation ITU-T H.26. **Pages:** 1-224.

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01 FC:1806

Ref 1.04: **Title:** Draft Text of Recommendation H.263 Version 2 ("H.263+") for Decision.
From: International Telecommunication Union. **Pages:** 1-143.

Ref 1.05: **Title:** Information Technology-Coding of Audio Visual Objects-Part 2: Visual.
From: International Organization for Standardization Organization International
Normalization ISO/IEC JTC1/SC29/WG 11 Coding of Moving Picture and Audio.
Pages: 1- 526.

Ref 1.06: **Title:** DCT Coding for Motion Video Storage Using Adaptive Arithmetic
Coding. **Author:** C.A. Gonzalez, L. Allman, T. McCarthy, P. Wendt. **Pages:** 145-154.

Ref 1.07: **Title:** Adaptive Codes for H.26L. **From:** ITU -Telecommunications
Standardization Sector. **Pages:** 1-7

Ref 1.08: **Title:** Further Results for CABAC Entropy Coding Scheme. **From:** ITU -
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Ref 1.09: **Title:** Improved CABAC. **From:** Joint Video Team (JVT) of ISO/IEC MPEG &
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Ref 1.10: **Title:** New Results in Improved CABAC. **From:** Joint Video Team (JVT) of
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Pages: 1-12.

Ref 1.11: **Title:** Improved CABAC. **From:** ITU-Telecommunications Standardization
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Ref 1.12: **Title:** Fast Arithmetic Coding for CABAC. **From:** Joint Video Team (JVT) of
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Pages: 1-11.

Ref 1.13: **Title:** CABAC and Slices. **From:** Joint Video Team (JVT) of ISO/IEC MPEG
& ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-17.

Ref 1.14: **Title:** Analysis and Simplification of Intra Prediction. **From:** Joint Video Team
(JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16
Q.6).

Ref 1.15: **Title:** Proposed Cleanup Changes for CABAC. **From:** Joint Video Team
(JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16
Q.6). **Pages:** 1-7.

Ref 1.16: **Title:** CABAC Cleanup and Complexity Reduction. **From:** Joint Video Team
(JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16
Q.6). **Pages:** 1-20.

Ref 1.17: **Title:** Final CABAC Cleanup. **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-24.

Ref 1.18: **Title:** Very Low Bit-Rate Video Coding Using Wavelet-Based Techniques. **Author:** Detlev Marpe and Hans L. Cycon. **Pages:** 85-94

Ref 1.19: **Title:** Wavelet-Based Very Low Bit-Rate Video Coding Using Image Warping and Overlapped Block Motion Compensation. **Author:** G. Heising, D. Marpe, H.L. Cycon and A.P. Petukhov. **Pages:** 93-101.

Ref 1.20: **Title:** Motion-Compensated 3-D Subband Coding of Video. **Author:** Seung-Jong Choi and John W. Woods, Fellow IEEE. **Pages:** 155-167.

Ref 1.21: **Title:** A New Fast and Efficient Image Codec Based on Set Partitioning in Hierarchical Trees*. **Author:** Amir Said (Faculty of Electrical Engineering) and William A. Pearlman (Department of Electrical, Computer, and Systems Engineering Rensselaer Polytechnic Institute). **Pages:** 1-15.

Ref 1.22: **Title:** Efficient Pre-Coding Techniques for Wavelet-Based Image Compression. **Author:** Detlev Marpe and Hans L. Cycon. **Pages:** 45-51.

Ref 1.23: **Title:** Universal Modeling and Coding. **Author:** Jorma Rissanen and Glen G. Langdon, Jr., Senior Member, IEEE. **Pages:** 12-23.

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Ref 1.27: **Title:** Applications of Universal Context Modeling to Lossless Compression of Grey-Scale Images. **Author:** Marcelo J. Weinberger, Member, IEEE, Jorma J. Rissanen, Senior Member, IEEE, and Ronald B. Arps. **Pages:** 575-586.

Ref 1.29: **Title:** A Compression Method for Clustered Bit-Vectors. **Author:** Jukka Teuhola (Department of Computer Science, University of Turku). **Application:** XP-001000934.

Ref 1.30: **Title:** Optimal Source Codes for Geometrically Distributed Integer Alphabets. **Author:** Robert G. Gallager, fellow, IEEE, David C. Vanvorhis, member, IEEE. **Pages:** 228-230.

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Ref 1.32: **Title:** An Overview of the Basic Principles of the Q-Coder Adaptive Binary Arithmetic Coder. **Author:** W.B. Pennebaker, J.L. Mitchell, G.G. Langdon, Jr., and R.B. Arps. **Pages:** 717-726.

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Ref 1.34: **Title:** Practical Implementations of Arithmetic Code. **Author:** Paul G. Howard and Jeffrey Scott Vitter. **Pages:** 1-30.

Ref 1.35: **Title:** Sample Data Coding. **From:** Chapter 12. **Pages:** 474-484.

Ref 1.37: **Title:** Arithmetic Code Revisited. **Author:** Alistair Moffat (The University of Melbourne), Radford M. Neal (University of Toronto), and Ian H. Witten (the University of Waikato). **Pages:** 257-294.

Ref 1.38: **Title:** Rate-Constrained Coder Control and Comparison of Video Coding Standards. **Author:** IEEE Transactions on Circuits and Systems for Video Technology, Vol. 13, No. 7, July 2003. Thomas Wiegand, Heiko Schwarz, Anthony Joch, Faouzi Kossentini, Senior Members, IEEE, and Gary J. Sullivan, Senior Member, IEEE. **Pages:** 689-703.

Ref 2.1: **Title:** Draft ITU-T Recommendation and Final Draft International Standard of Joint Video Specification (ITU-T rec. H.264 I ISO/IEC 14496-10 AVC). **From:** Joint Video Team (JVT) of SO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG 16 Q.6). **Pages:** 1-249.

Ref 2.03x: **Title:** Line Transmission of Non-Telephone Signals / Video Codec for Audiovisual Services AT p x 64 kbit/s. **From:** International Telecommunication Union H.261. **Pages:** 1-25.

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Ref 2.07: **Title:** H.264/AVC in Wireless Environments. **From:** Thomas Stockhammer, Miska M. Hannuksela, and Thomas Wiegand. **Pages:** 657-673.

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Ref B: **Title:** A Highly Efficient Multiplication-Free Binary Arithmetic Coder and its Application in Video Coding. **Author:** Detlev Marpe and Thomas Wiegand. **Pages:** 1-4.

Ref C: **Title:** Proposed Editorial Changes and Cleanup of CABAC. **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO.IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-10.

Ref D: **Title:** Study of Final Committee Draft of Joint Video Specification (ITU-T Rec. H.264 | ISO/IEC 14496-10 AVC). **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO.IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-239.

Ref E: **Title:** Study of Final Committee Draft and Joint Video Specification (ITU-T Rec. H.264 | ISO/IEC 14496-10 AVC). **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO.IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-227.

Ref F: **Title:** CABAC and Slices. **From:** Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). **Pages:** 1-17.

In accordance with 37 C.F.R. 1.97(e) the undersigned herewith states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant. As per the Notice in 1273 OG 55 (August 5, 2003) no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

In accordance with 37 C.F.R. 1.97 (c) (2), consideration of this Information Disclosure Statement is requested.

Enclosed is the fee in the amount of \$180.00.

It is believed that the enclosed prior art is less pertinent than the prior art previously submitted and cited by the Examiner. Kindly place the references in the Patent Office file wrapper.

Respectfully submitted,

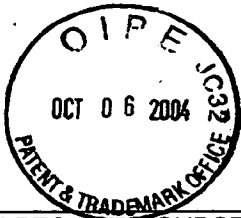


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Date: October 4, 2004

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FORM PTO-1449 (SUBSTITUTE)		Attorney Docket No.: S&ZFH030507	Applic. No. 10/727,802
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Applicant Heiko Schwarz, et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		Filing Date December 4, 2003	Group Art Unit 2613
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)			
/TV/		Ref 1.01: Title: Draft ITU-T Recommendation and Final Draft International Standard Joint Video Specification (ITU-T Rec. H.264I ISO/IEC 14496-10 AVC). From: Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG (ISO/IEC JTC1/SC29/WG11 and ITU-T SG16 Q.6). Pages: 1-250. No Date	
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EXAMINER	DATE CONSIDERED
/Tung Vo/	04/19/2007
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	<table><tr><td>Attorney Docket No.:</td><td>Applic. No.</td></tr><tr><td>S&ZFH030507</td><td>10/727,802</td></tr><tr><td colspan="2">Applicant</td></tr><tr><td colspan="2">Hekio Schwarz, et al.</td></tr><tr><td>Filing Date</td><td>Group Art Unit</td></tr><tr><td>December 4, 2003</td><td>2613</td></tr></table>	Attorney Docket No.:	Applic. No.	S&ZFH030507	10/727,802	Applicant		Hekio Schwarz, et al.		Filing Date	Group Art Unit	December 4, 2003	2613
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